



PATENT APPLICATION

#4  
9-16-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q62922

Kaoru UCHIDA

Appln. No.: 09/775,617

Group Art Unit: 2131

Confirmation No.: 8357

Examiner: Unknown

Filed: February 05, 2001

For: BIOMETRIC IDENTIFICATION METHOD AND SYSTEM

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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JAN 14 2004

Technology Center 2100

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

1. Japanese Patent Application Publication No. 11-187007, published July 9, 1999.
2. World Patent No. 97/22934, published June 26, 1997.
3. World Patent No. 99/41900, published August 19, 1999.
4. World Patent No. 99/26372, published May 27, 1999.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action

Kaoru UCHIDA  
09/775,617  
INFORMATION DISCLOSURE STATEMENT

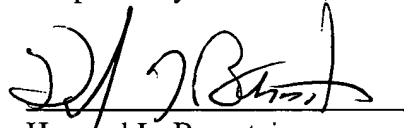
on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding Australian Office Action dated November 3, 2003, which cites such documents and indicates the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: January 13, 2004



Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

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|------------------------|-------------------|
| Application Number     | 09/775,617        |
| Confirmation Number    | 8357              |
| Filing Date            | February 05, 2001 |
| First Named Inventor   | Kaoru UCHIDA      |
| Art Unit               | 2131              |
| Examiner Name          | Unknown           |
| Attorney Docket Number | Q62922            |

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|-----------------------|--------------------------|-----------------|--------------------------------------|--------------------------------|---|
|                       |                          | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                       |                          | US              |                                      |                                |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document      |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document                      | Translation <sup>6</sup> |
|-----------------------|--------------------------|------------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
|                       |                          | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |   |                          |
|                       |                          | JP                           | 11-187007           | A                                    | 07/09/1999                     |   |                          |
|                       |                          | WO                           | 97/22934            | A1                                   | 06/26/1997                     | Mytec Technologies Inc.   |                          |
|                       |                          | WO                           | 99/41900            | A1                                   | 08/19/1999                     | Frahofer-Gesellschaft Zur<br>Forderung Der Angewanten<br>Forschung E.V. |                          |
|                       |                          | WO                           | 99/26372            | A1                                   | 05/27/1999                     | Digital Persona, Inc.   |                          |
|                       |                          |                              |                     |                                      |                                |   |                          |
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**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.